How you can reduce compliance fatigue

for your organization.

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How you can reduce compliance fatigue via automation

Help your organization keep up with technological advances and enter new markets by easing the IT compliance bottleneck that can strain existing resources and limit your ability to grow.

Presenter

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Agenda

A consistent test

Is IT compliance challenging for your organization?

The constantly evolving IT compliance landscape can feel frustrating and confusing for several reasons.

- Regulators continually scrutinize you for noncompliance.
- Customers insist their data and information remains secure.
- New compliance standards need to be managed as you expand your business.

Think long term

Short-term solutions won't resolve compliance fatigue.

Throwing money and resources at issues as they arise can turn into a never-ending game of whack-a-mole.

As soon as you solve one compliance issue, a new regulation is introduced or an existing one changes.

A complex framework

Compliance fatigue causes headaches that slow your organization’s growth.

IT compliance teams want to move with speed, but they’re often slowed down by obstacles that include:

- **Missing data**
- **Duplicate processes**
- **Redundant data**
- **Manual steps that could be removed or automated**
- **Independent tools and silos**
- **Lack of visibility across locations and departments**

Maturity is a journey, not a destination

Where is your IT compliance program today?

Reacting:

Ad hoc

- Operating in isolation
- Implementing point solutions
- “Just get it done” attitude

Standardizing:

Repeatable

- Gaining efficiencies
- Doing things consistently across roles
- Identifying connections between multiple teams

Collaborating:

Defined

- Achieving consistent quality
- Aligning initiative objectives with corporate objectives
- Making connections between multiple teams

Orchestrating:

Measured

- Measuring success
- Identifying patterns
- Using data and analytics to predict financial outcomes
- Continuous monitoring through daily operations

Improving:

Optimized

- Using measurements to achieve continuous improvement
- Using patterns to predict results
- Higher-level coordination and analytics

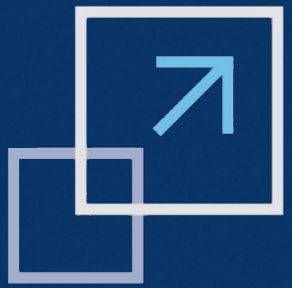
You can achieve a state of continual compliance.

Your organization can take steps to adapt to changing regulations, improve risk management, and avoid the bottlenecks associated with compliance fatigue.

Analyze
the data

Embrace
automation

Consistent
process



Scalable
technology

Step 1: **Analyze the data**

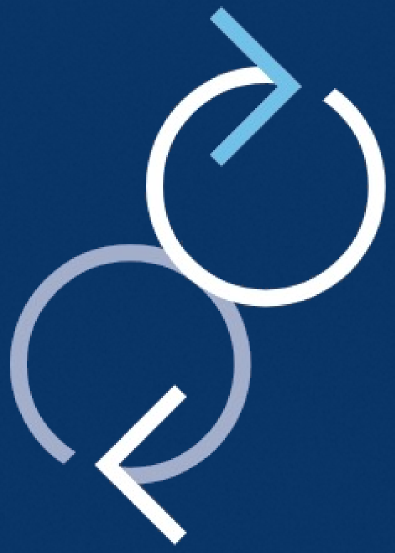
The sheer volume of data your organization collects is invaluable.

By making sure your workflows are collecting relevant data, your compliance team will be quickly alerted when a compliance issues arises.

Step 2: **Embrace automation**

Emerging technology can improve your efficiency and streamline processes.

Automating controls improves collaboration with appropriate stakeholders to help manage compliance requirements more holistically throughout your organization.



Step 3: **Consistent process**

Define how you review your infrastructure from the top down and bottom up.

This will give your organization the ability to quickly adapt and react to regulatory updates or new regulations that come with new products and services.

Step 4: **Scalable technology**

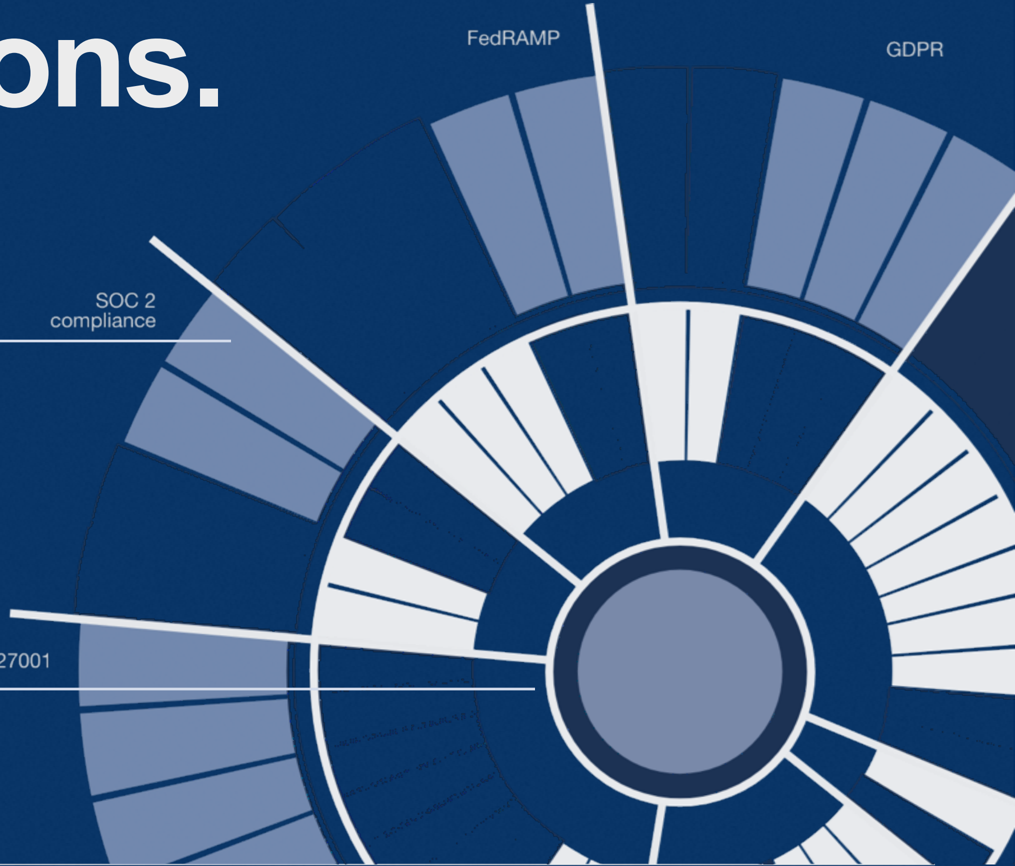
Build a strong foundation with technology that grows with your organization.

A control framework that includes common taxonomies, risks and controls, and a standardized issue management process is a good start. But your control framework also should adapt and adjust as compliance requirements change.

Another solution

As your business models change, a hub-and-spoke model can help you adapt to new regulations.

Your organization can use this framework to manage risk and share the responsibilities of IT compliance throughout your organization.



SPOKE

Meet specific requirements by building out additional controls that can be expanded and consolidated as regulations mature or evolve.

HUB

Build a strong foundation with common controls.

Example

How can a hub-and-spoke model help?

HUB		
NIST Cybersecurity Framework	**PCI DSS 3.2.1**	**ISO 27001:2013**
Asset Management AM-1	2.4, 9.9, 11.1.1, 12.3.3	A.8.1.1, A.8.1.2
Business Environment BE-4	N/A	A.11.2.2, A.11.2.3, A.12.1.3
Governance GV-2	12.4, 12.5, 12.8, 12.9	A.6.1.1, A.7.2.1, A.15.1.1
Risk Assessment RA-1	12.2	Clause 6.1.2
IAM AC-1	2.1, 8.1, 8.2, 8.5, 8.6, 12.3	A.9.2.1, A.9.2.2, A.9.2.3, A.9.2.4, A.9.2.6, A.9.3.1, A.9.4.2, A.9.4.3

SPOKE(s)

PCI requirements not included in the hub – Req 3.2.2, Req 4.1.1

ISO 27001:2013 controls requirements not included in the hub A 15 and A 17

1. **Increase efficiency:** Map common areas from applicable compliance frameworks to create a comprehensive set of controls that satisfy and standardize design and execution. This builds confidence in control suitability and operating effectiveness, while also improving long-term efficiency since controls, and the requirements they satisfy, rarely change over time.

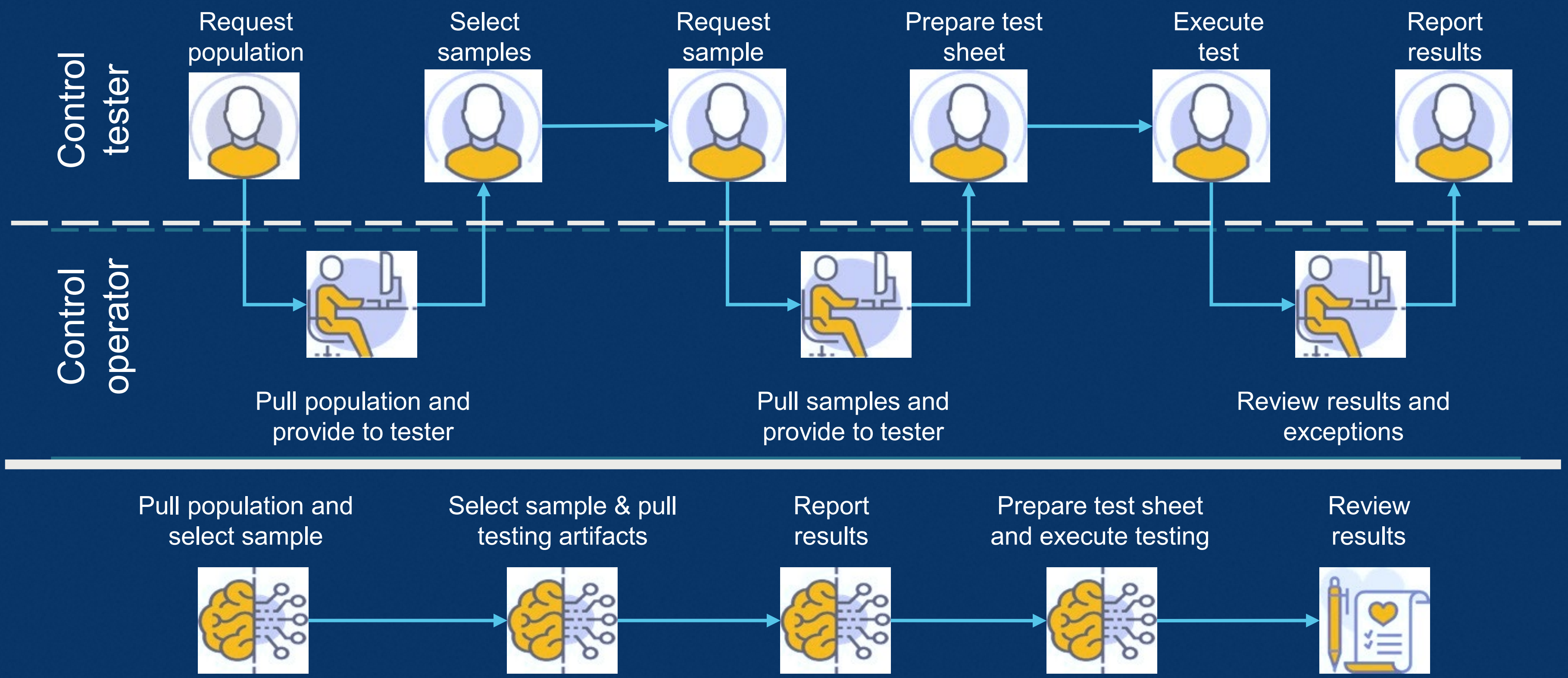
2. **Smoother control execution:** Adding robotic process automation (RPA) with well-defined triggers, deadlines, thresholds, and alerts also helps collect evidence for audits.

3. **Go above and beyond:** Separating unique framework requirements allows you to focus on meeting compliance and adopting best practices for your organization.

Sample Use Case for UAR Compliance

Use case for UAR compliance

How does the User Access Review (UAR) "bot" work? A high-level view of how a "bot" typically operates:



Forward momentum

Ready to shift your IT compliance program out of neutral?

By reducing compliance fatigue, your organization will be able to take advantage of these benefits and change how risk and compliance is managed:

- ☑ **Scalability**
- ☑ **Maturity**
- ☑ **Efficiency**
- ☑ **Sustainability**
- ☑ **Governance**

You don't have to do this alone.

We can help you identify ways to improve IT compliance.

Compliance fatigue can be a difficult challenge to resolve. Here are some of the ways we can help you evaluate your organization’s compliance posture:

- ☑ **Design**
- ☑ **Implementation**
- ☑ **Evaluation**
- ☑ **Automation**
- ☑ **Benchmarking**

Presenter

We can help you manage the IT compliance bottleneck.

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Reach out to schedule a consultation.

Thank you

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